

**DePauw University**  
**Conflict of Interest Questionnaire**

1) Other than an employment relationship, do you or a family member conduct business with this organization? Business transactions include, but are not limited to contracts of sale, lease, license and performance of services, whether initiated during the organization's tax year or ongoing from a prior year. Business transactions also include joint ventures in which either the profits or capital interest of the organization and of the interested person exceeds 10%.

Yes    No

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2) Do you or a family member own 35% of an entity (C-corporation, S-corporation, Partnership, LLC or other entity type), directly or indirectly, that conducts business with the organization?

Yes    No

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3) Do you or a family member, in combination with other "interested person(s)" own 35% of an entity (C-corporation, S-corporation, Partnership, LLC or other entity type), directly or indirectly, that conducts business with the organization? A link to the list of other "interested persons" for this organization is located at the beginning of this survey (left-hand side).

Yes    No

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4) Do you or a family member, serve as an officer, director, trustee, or key employee of an entity that does business with the organization?

Yes    No

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5) Do you or a family member serve as a partner, member, or shareholder of an entity (with ownership interest in excess of 5% of a partnership or professional corporation) that conducts business with the organization?

Yes    No

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6) Did a family member receive a compensation payment in excess of \$10,000 from the organization?

Yes No

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7) Did you or a family member receive grants or other assistance, including provision of goods, services, or use of facilities from this organization? Grants include scholarships, fellowships, internships, prizes, and awards.

Yes No

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8) Do you have 35% control in an entity that received grants or other assistance, including provision of goods, services, or use of facilities from this organization?

Yes No

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9) Do you have any loans to or from this organization?

Yes No

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10) Are you aware of any excess benefit transactions to report? An excess benefit transaction is where the organization directly or indirectly provided an economic benefit where the value of the benefit exceeds the value of the consideration received by the organization.

Yes No

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11) Do you have a family or business relationship with any other officer, director, trustee, or key employee of the organization? A list of DePauw University's "interested persons" is included on the following pages.

Yes No

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Name \_\_\_\_\_ Date \_\_\_\_\_

Signature: \_\_\_\_\_