



# Gift of Securities Transfer Notification Form

---

You must contact your broker with instructions to transfer a gift of securities to DePauw. The purpose of this form is to notify DePauw of an anticipated transfer of securities and to indicate the designation of your gift. Privacy statutes may prevent your broker from providing us with any personal information. This form allows us to know who transferred a gift of securities and ensure timely and accurate processing of your gift.

**Instructions:** Please complete this form (you may fill in the form electronically or by hand) and e-mail or mail to the address listed at the bottom of this form. Alternatively, you may provide this form to your broker and ask them to complete and e-mail to the address below. If providing to your broker to complete, you must also grant permission for them to send the form to DePauw. If you have additional questions regarding this form or about making a gift of securities please contact a member of our Development staff at 800-446-5298. **EIN#35-0869045**

**Securities gifts should be directed to our brokerage:** UBS Financial Services  
8801 River Crossing Blvd, Indianapolis, IN 46240  
Phone: 317-816-1817  
DePauw University  
Account #: IP-51937  
DTC #: 0221

### Donor Information

Name \_\_\_\_\_  
Street address \_\_\_\_\_  
City \_\_\_\_\_  
State \_\_\_\_\_ Zip code \_\_\_\_\_  
Telephone \_\_\_\_\_  
E-mail \_\_\_\_\_

### Donor Broker Information

Brokerage firm \_\_\_\_\_  
Broker name \_\_\_\_\_  
Broker phone \_\_\_\_\_ Broker fax \_\_\_\_\_

### Transfer of Securities Information

Anticipated date of transfer \_\_\_\_\_

**\*\*Description of securities being transferred: (Indicate the name of each security, number of shares, and the approximate dollar value)**

**\*\*Designation of gift: (If the gift is to be split among accounts, also note how many shares or dollars for each designation)**

---

E-mail Form to: [securitiesgifts@depauw.edu](mailto:securitiesgifts@depauw.edu)

or Mail to: DePauw University, Development Services, 201 E. Seminary St., Greencastle, IN 46135

*Thank you for your generous investment in a DePauw education!*